BERESFORDS

Wealth Management

ADVISER PROFILE

James Beresford

"We love the partnership we have with James, that has developed over the years and the personal attention given to us. His open communication, transparency of the process gives us confidence in our outcomes - knowing James will formulate a plan to give us the life we want."

James Beresford, Managing Director
Beresfords Wealth Management Pty Ltd
jamesb@beresfords.com.au
02 9993 3900
Suite 3, Level 2, 201 Miller Street
North Sydney NSW 2060
www.beresfords.com.au

This Adviser Profile is part of the Beresfords Wealth Management Pty Ltd Financial Services Guide (FSG) and must be read in conjunction with the main document.

Am I authorised to provide financial services and products?

Yes. I am an Authorised Representative of Beresfords Wealth Management Pty Ltd, AR#246077 and a Registered Financial Adviser #1005614, and Tax (Financial) Adviser #251 63211.

What areas am I authorised to advise on?

I am authorised by Beresfords Wealth Management Pty Ltd to advise, and to deal, on their behalf on the following financial services and products:

- Managed Investments
- Superannuation
- Retirement Income Streams
- Deposit Taking Facilities
- Margin Lending
- Life and Disability Income Insurance
- Portfolio Administration Services
- Retirement Savings Accounts
- Securities
- Structured Products
- Government Debentures, Stocks and Bonds.

In addition to the services listed on page 4 of the FSG, are there any services I am not authorised by Beresfords Wealth Management Pty Ltd to provide?

No, I am authorised to provide a full and comprehensive service.

What are your educational qualifications and experience?

- Certified Financial Planner (CFP)
- Master of Commerce (Financial Planning)

I have had experience in the financial services industry since 1993.

How am I paid?

I receive an annual salary as an employee of Beresfords Wealth Management Pty Ltd. I am entitled to receive additional remuneration for achieving Key Performance Indicators – these typically relate to meeting agreed client service standards, client satisfaction, workflow, professional development and quality of advice. I am also eligible to participate in a profit sharing arrangement.

I am a beneficiary of the shareholder of Beresfords Wealth Management Pty Ltd and its related parties and am the Director of some associated companies, all with a common ownership structure, and hence, may also receive dividend payments and/or achieve capital growth in respect of my beneficial shareholding.

BERESFORDS

Wealth Management

ADVISER PROFILE

James Beresford

About me:

My career in Wealth Management began in the early 1990s and in 1993, I founded Beresfords Wealth Management. I believe my passion for financial planning will be evident from the moment that we meet.

As the Managing Director, of Beresfords, I lead the whole team to be, not only profoundly professional, but always striving to be considered very best in the industry.

I am proud to have built a culture that empowers every member of the team to be innovative and continually seek better ways of doing things - always with the greatest integrity and empathy with our clients, providing unbiased, quality, tailored advice.

I am absolutely committed to showing clients how the powerful, life-changing value of great financial planning can really make their dreams come true.

I spend much of my spare time dedicated to self-growth, continual learning, and I love spending time with my family, enjoying the great outdoors, camping and travelling.